

# One-Shot Facial Recognition using Siamese Neural Networks

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# **Project Overview**

This project focuses on training and evaluating a Siamese Neural Network (SNN) for one-shot facial recognition. The model is tested on data from unseen classes, evaluating its generalization capabilities in classifying from just a single example.

## Problem: Making predictions using limited data during training

- CNNs are powerful for image classification due to their ability to extract hierarchical features through layered transformations.
- Weakness: they require many labeled examples per class to perform well.
- Collecting such data is often impractical in dynamic or low-resource settings. • One-shot learning addresses this: classifies using only one example per class.
- Compares similarities between inputs rather than learning a set of fixed classes.

#### **One-shot Classification** Classification with CNNs Training set Training set We organize the available data into **similar** and **different** pairs, and train a model to extract features from images and predict their similarities. Queries Queries The input's features are extracted and compared to the features of each image in Predictions: the support set, and the most similar class is chosen as the prediction. In this example, the model learned general features that set different animals apart, so it can use the support set as a way to classify unseen data. No frog or deer images used during training, yet the model can classify them. => allows us to introduce new classes by simply updating the support set. Can accurately recognize classes, but **Classification Process** only if they are included during training. Support set: a single Classification: "Frog" labeled example from - To predict new or unseen classes: large each target class. amounts of data collection is required; the => represents the set model must be retrained every time the of possible predictions target classes change. (easily modifiable; requires no fine-tuning) => less flexible in environments where the class list changes often or labeled data is scarce. Model's Similarity predictions One-shot learning uses a single example per class, CNNs need **multiple** labeled examples per class and **struggle with unseen** categories. generalizing better in low-data scenarios.

Figure 1: Making predictions with supervised learning vs one-shot learning

# Siamese Neural Networks (SNN)

- consists of two identical feature extraction subnets (convolutional NN) that share the same weights. Each subnet processes one pair-mage and outputs feature embeddings.
- The absolute distance between the two embedding vectors is the output (1 or 0 for same or different images; Fig.3).

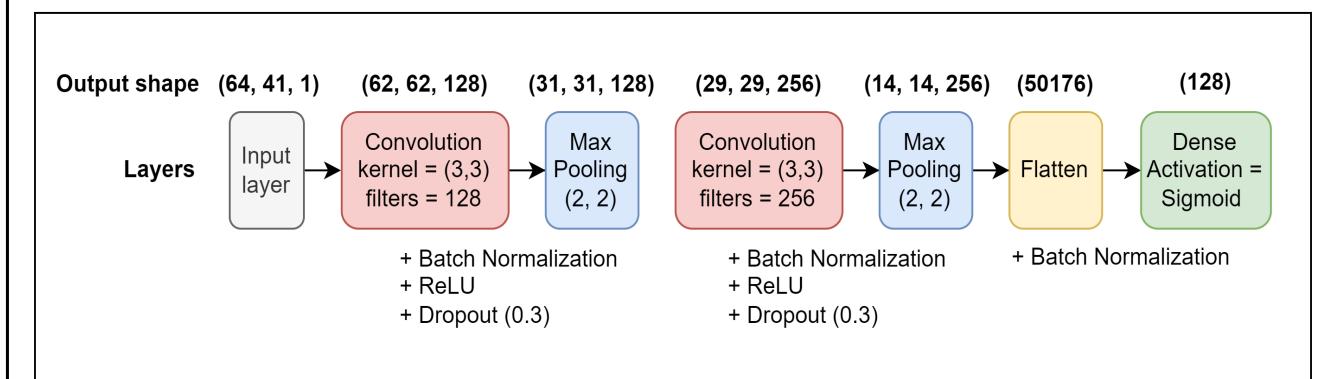


Figure 2. Summary of feature extraction layers

#### **Training**

AT&T Lab. Cambridge Olivetti Faces Dataset; images of 40 individuals, with 10 images per person.

Training: created 8**0,000 pairs from 30 individuals (**evenly split similar/different).

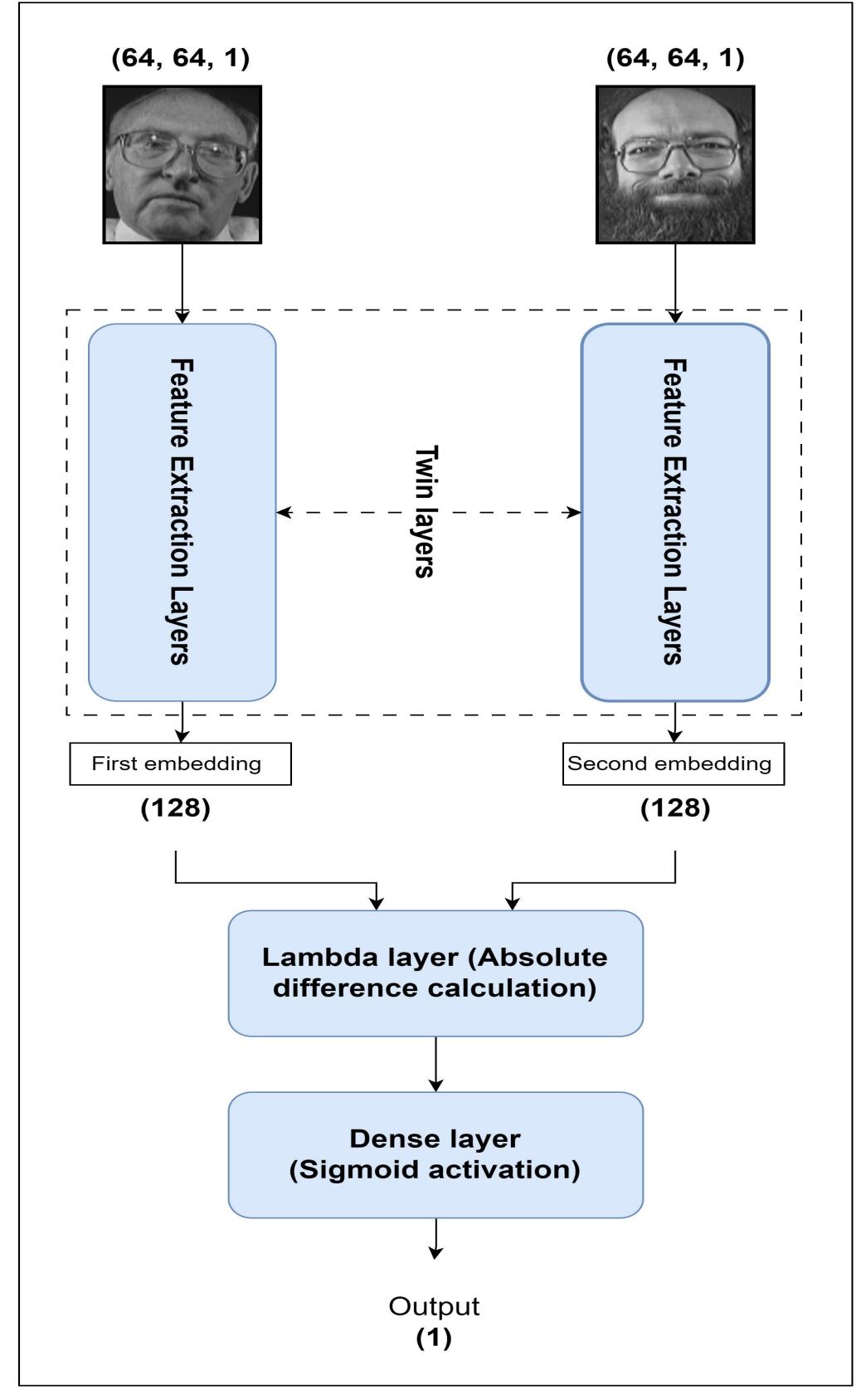


Figure 3. Summary of the Siamese Neural Network structure

### **Evaluation: One-Shot Trials**

One-shot trials are used to evaluate the model's ability to classify unseen classes using a single example per class. In each trial:

- 1. A **support set** is formed from randomly selected images, each representing a unique class.
- A query image (not in the support set) is classified.
- Accuracy calculation: proportion of correct predictions across trials.

#### First Evaluation: Unseen Identities from the Original Dataset

- 10,000 10-way one-shot classification trials are performed using the Olivetti Faces dataset
- The model achieves an accuracy of **68.07%**.

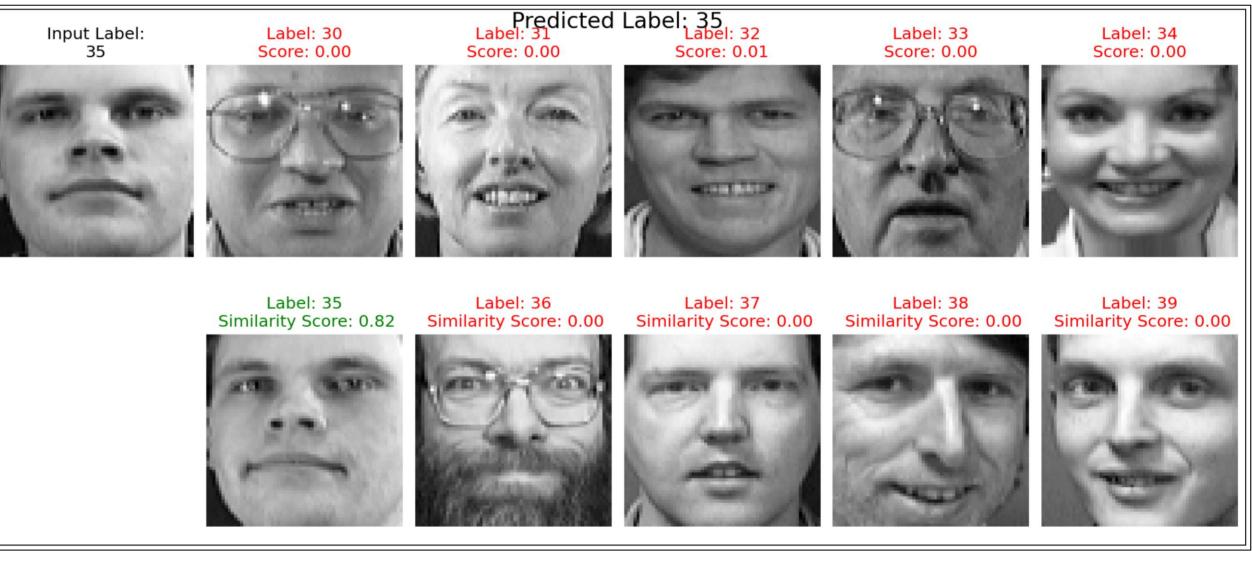


Figure 4: Example one-shot trial on the Olivetti dataset (original dataset)

#### Second Evaluation: Unseen Identities from an Unseen Dataset

- The model was further tested on the Yale Face Database (unseen during training), with **no fine-tuning**.
- 10,000 10-way one-shot trials using 10 randomly selected individuals, yielding 44.27% accuracy. The drop reflects the training data's limitations.

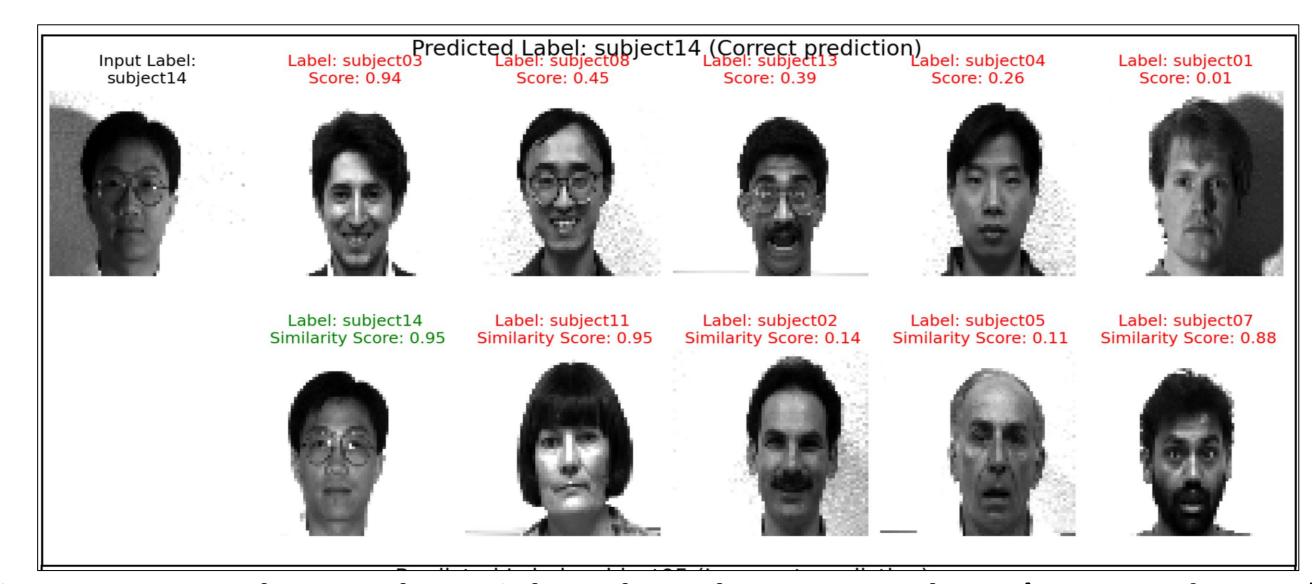


Figure 5: Example one-shot trial on the Yale Face Database (Unseen dataset)

#### Limitations and Future Work

- The training dataset lacked variation in lighting, pose, and angle.
- While uniformity in the training data supported faster learning, it **limited generalization** to new conditions. The model struggled with variations that were not represented during training, like strong lighting in the Yale dataset.
- Future work: use more diverse training dataset; improve data augmentation, loss function refinement, and optimization strategies.

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